

131 U.S. PTO  
12/21/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PARKHURST & WENDEL, L.L.P.  
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Attorney Docket No.: WATK:162A  
Date: December 21, 2001

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application:  
Examiner: Jonathan S. Crepeau  
Art Unit: 1741

Sir:

This is a

[ ] Continued Prosecution Application (CPA) under 37 CFR §1.53(d);  
[ ] Request for Continued Examination (RCE) under 37 CFR §1.114  
(prosecution of the application is closed in the sense of 37 CFR 1.114(b));  
[X] Continuation Application (parent not abandoned) under 37 CFR  
§1.53(b);  
[ ] Divisional Application (parent being abandoned) under 37 CFR  
§1.53(d);

of pending prior application Serial No. 09/114,323, filed July 13, 1998

for [Title as filed]: LITHIUM SECONDARY BATTERY

[Amended Title]: same

by [Inventors]: Hiroshi NEMOTO, Teruhisa KUROKAWA and Kenshin KITOH

1. [ ] Abandon said prior application as of the filing date accorded this application.
2. [X] The filing fee is calculated below:

CLAIMS REMAINING IN AN APPLICATION AFTER ENTRY  
OF ANY PRELIMINARY AMENDMENT OR AMENDMENT  
UNDER 37 CFR §1.116 NOTED BELOW

					Basic Fee
Total claims	8	-20 =	0 x \$18.00 (* 9.00) =	-	\$740.00
Independent claims	1	- 3 =	0 x \$84.00 (*42.00) =	-	
Total Filing Fee.....				=	\$740.00

3. [X] A check in the amount of \$740.00 is enclosed (Ck# 14842).

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES  
WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT  
NO. 16-0331.

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL

4. [X] Cancel in this application claims 2 to 39 of the prior application before calculating the filing fee. At least one independent claim is retained for filing purposes.
5. [ ] Please enter in the present application the Amendment Under 37 CFR §1.116 with any attachments filed on in said prior application which was not entered therein.
6. [X] Priority of foreign application(s) No. 9-202963 filed July 29, 1997 and 9-331537 filed December 2, 1997 in Japan is claimed under 35 U.S.C. §119.
- [X] The certified copies were filed in prior application No. 09/114,323 on Juy 13, 1998.
- [ ] A certified copy of the above corresponding foreign application is filed herewith.
- [X] The prior application is assigned of record to NGK Insulators, Ltd. Recorded at Reel 9609, Frame 0050.
8. [X] The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
- [x] a. The power appears in the original papers in the prior application.
- [ ] b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- [x] c. Address all future communications to

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9. [X] A Preliminary Amendment is enclosed herewith.
10. [ ] Small entity status was established in the parent application via a verified statement filed on \_\_\_\_\_.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel

Registration No. 24,453

(rev. 2/01)